

Sworn Financial Statements and Certification of Revenues \$75,000 or Less

Entity Name: Baton Rouge Blues Festival & Foundation
Address: P.O. Box 5332, Baton Rouge, LA 70821
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This annual sworn financial statement is required to be filed with the Legislative Auditor within 90 days of the end of the entity's fiscal year by sending a pdf copy by email to ereports@lla.la.gov, faxing to 225-339-3986, or mailing to Louisiana Legislative Auditor - Local Government Services, P.O. Box 94397, Baton Rouge, LA 70804-9397.

AFFIDAVIT

Personally came and appeared before the undersigned authority, Kimberly R. Neustrom (officer's name), who, duly sworn, deposes and says that the financial statements herewith given present fairly, in all material respects, the financial position of Baton Rouge Blues Festival & Foundation (entity's name) as of December 31, 2020 (entity's year-end) and the results of operations for the year then ended, in accordance with the basis of accounting described within the accompanying financial statements; that the entity has maintained a system of internal control structure sufficient to safeguard assets and comply with laws and regulations; and that the entity has complied with all laws and regulations, except as follows: N/A

Complete if Applicable: In addition, Kimberly R. Neustrom (officer's name), who duly sworn, deposes, and says that Baton Rouge Blues Festival & Foundation (entity's name) received \$75,000 or less in revenues and other sources for the year ended December 31, 2020 (entity's year-end), and accordingly, is not required to have an audit for the previously mentioned fiscal year.

Kim Neustrom
OFFICER'S SIGNATURE

Executive Director
OFFICER'S TITLE

Sworn to and subscribed before me, this 20 day of January, 20 21

William Bertrand
NOTARY PUBLIC SIGNATURE & SEAL

William Bertrand
Notary Public ID#78339
State of Louisiana
My Commission is for Life



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Statement of Receipts and Disbursements

Statement A

	General Fund	Other Fund	Total
RECEIPTS (Provide Brief Description):			
1. EBR-Grant through Arts Council	\$50,000	\$	\$50,000
2. State of LA-NEA CARES Act Gen Op Support	5,000		5,000
3. State of LA-Office of Tourism	3,128		3,128
4. EBR-Misc grants through Arts Council	3,560		3,560
5.			
6. Total receipts (add lines 1 - 5)	<u>\$61,688</u>	<u>\$</u>	<u>\$61,688</u>
DISBURSEMENTS (Provide Brief Description):			
7. Musicians/Artist – Performing Fees	\$7,125	\$	\$7,125
8. Festival Production Costs (2020 festival cancelled)**	\$39,680		39,680
9. Gala Event Production Costs	2,069		2,069
10. Festival Launch Event Production Costs**	1,209		1,209
11. Administrative Operating Costs	5,684		5,684
12.			
13. Total Disbursements (add lines 7 - 12)	<u>\$55,767</u>	<u>\$</u>	<u>\$55,767</u>
14. Change in fund balance (Lines 6 minus 13)	\$5,921	\$	\$5,921
15. Fund Balance at beginning of year	\$0	\$	\$0
16. Fund balance (deficit) at end of year (Add lines 14-15)			
--This amount also goes on line 12, Statement B	\$5,921	\$	\$5,921

Identify the Basis of Accounting, if not using Cash-Basis: _____

NOTE: If the entity receives any funds from pre- or post-adjudication court costs, fines, and/or fees, the entity must use one or more of the following categories in the receipts description fields: Civil Fees; Bond Fees; Asset Forfeiture/Sale; Pre-Trial Diversion Program; Criminal Court Costs/Fees; Criminal Contempt Fines, Other Criminal Fines; Restitution; and Probation/Parole/Supervision Fees.

**Due to the COVID-19, the 2020 Baton Rouge Blues Festival was cancelled, and other fundraising events held during 2020 were severely curtailed. As a result, normal fundraising opportunities were limited. However, sufficient non-public funds were available to fund the personnel costs of our Executive Director, and no public funds were expended for these costs in 2020.

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Statement C

Schedule of Compensation, Benefits and Other Payments to Entity Head

Agency Head Name and Title: Kimberly R. Neustrom, Executive Director

Purpose	Dollar Amount
1. Salary	1
2. Benefits-insurance	2
3. Benefits-retirement	3
4. Benefits-other (describe)	4
5. Benefits-other (describe)	5
6. Benefits-other (describe)	6
7. Car allowance	7
8. Vehicle provided by government (if reported on your W-2)	8
9. Per diem	9
10. Reimbursements	10
11. Travel	11
12. Registration fees	12
13. Conference travel	13
14. Housing	14
15. Unvouchered expenses (example: travel advances, etc.)	15
16. Special meals	16
17. Other	17
18. TOTAL (enter total of line 1-17)	18

Act 462 of the 2015 Legislative Session amends Act 706 to allow not-for-profit (quasi-public) entities to report on the Act 706 schedule only those payments to the agency head that were derived from public funds. No public funds were used to make payments to the Executive Director named above.

Please check here if the Agency Head does not receive any compensation, benefits, and other payments. (Act 462 of the 2015 Legislative Session allows nongovernmental entities or not-for-profit (quasi-public) entities to report on the Act 706 schedule only those payments to the agency head that are derived from the public funds.)