

Sworn Financial Statements and Certification of Revenues \$75,000 or Less

Entity Name: La. Sheriffs' Risk Management Program

Address: 1175 Nicholson Drive, Baton Rouge, LA 70802

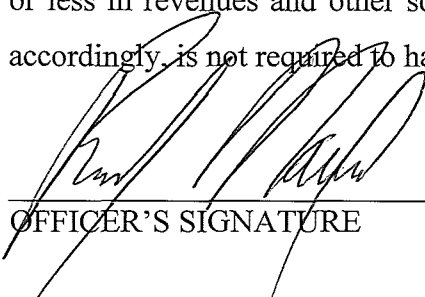
Telephone: (225) 343-8402 Email: cindy@lsa.org

This annual sworn financial statement is required to be filed with the Legislative Auditor within 90 days of the end of the entity's fiscal year by sending a pdf copy by email to ereports@lla.la.gov, faxing to 225-339-3986, or mailing to Louisiana Legislative Auditor – Local Government Services, P.O. Box 94397, Baton Rouge, LA 70804-9397.

AFFIDAVIT

Personally came and appeared before the undersigned authority, Randy Maxwell (officer's name), who, duly sworn, deposes and says that the financial statements herewith given present fairly, in all material respects, the financial position of La. Sheriffs' Risk Management Program (entity's name) as of 8/31/2021 (entity's year-end) and the results of operations for the year then ended, in accordance with the basis of accounting described within the accompanying financial statements; that the entity has maintained a system of internal control structure sufficient to safeguard assets and comply with laws and regulations; and that the entity has complied with all laws and regulations, except as follows: _____

Complete if Applicable: In addition, Randy Maxwell (officer's name), who duly sworn, deposes, and says that La. Sheriffs' Risk Management (entity's name) received \$75,000 or less in revenues and other sources for the year ended 8/31/2021 (entity's year-end), and accordingly, is not required to have an audit for the previously mentioned fiscal year.



OFFICER'S SIGNATURE

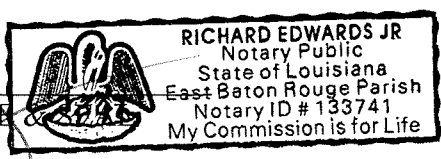
Director

OFFICER'S TITLE

Sworn to and subscribed before me, this 14 day of September, 2021



NOTARY PUBLIC SIGNATURE



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Statement of Receipts and Disbursements

Statement A

	<u>General Fund</u>	<u>Other Fund</u>	<u>Total</u>
RECEIPTS (Provide Brief Description):			
1. Investment Income	\$13.04	\$	\$13.04
2.			
3.			
4.			
5.			
6. Total receipts (add lines 1 - 5)	<u>\$13.04</u>	<u>\$</u>	<u>\$13.04</u>
DISBURSEMENTS (Provide Brief Description):			
7. Claims Legal Expense - Direct	\$98.00	\$	\$98.00
8. Claims Legal Fee - Direct	980.00		980.00
9.			
10.			
11.			
12.			
13. Total Disbursements (add lines 7 - 12)	<u>\$1078.00</u>	<u>\$</u>	<u>\$1078.00</u>
14. Change in fund balance (Lines 6 minus 13)	\$(1064.96)	\$	\$(1064.96)
15. Fund Balance at beginning of year	\$64,435.51	\$	\$64,435.51
16. Fund balance (deficit) at end of year (Add lines 14-15) --This amount also goes on line 12, Statement B	\$63,370.55	\$	\$63,370.55

Identify the Basis of Accounting, if not using Cash-Basis: _____

NOTE: If the entity receives any funds from pre- or post-adjudication court costs, fines, and/or fees, the entity must use one or more of the following categories in the receipts description fields: Civil Fees; Bond Fees; Asset Forfeiture/Sale; Pre-Trial Diversion Program; Criminal Court Costs/Fees; Criminal Contempt Fines; Other Criminal Fines; Restitution; and Probation/Parole/Supervision Fees.

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Balance Sheet

Statement B

	<u>General Fund</u>	<u>Other Fund</u>	<u>Total</u>
ASSETS (balances at year-end)			
1. Cash and cash equivalents	\$4264.29	\$	\$4264.29
2. Investments (fair value)	69,093.22		69,093.22
3. Office furnishings (Cost of desks, etc)			
4. Equipment (Cost of fax machine, etc)			
5. Other (brief description)			
6. Total Assets (add lines 1 - 5)	<u>\$73,357.51</u>	<u>\$</u>	<u>\$73,357.51</u>
LIABILITIES AND FUND BALANCE (at year-end):			
7. Liabilities (brief description):	\$	\$	\$
8. Reported Claims	(845,638.15)		(845,638.15)
9. IBNR Claims	780,630.00		780630.00
10. Unallocated Loss Adj Expense	75,008.14		75008.14
11. Total Liabilities (add lines 7 - 10)	9,999.99		9999.99
12. Fund balance (amount from Line 16 on Statement A)	63,370.55		63370.55
13. Other			
14. Total Liabilities and Fund Balance (add lines 11 - 13)	<u>\$73,370.50</u>	<u>\$</u>	<u>\$73370.50</u>

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Statement C

Schedule of Compensation, Benefits and Other Payments to Entity Head

Agency Head Name and Title: Randy Maxwell, Director

Purpose	Dollar Amount
1. Salary	1. 0.00
2. Benefits-insurance	2. 0.00
3. Benefits-retirement	3. 0.00
4. Benefits-other (describe)	4. 0.00
5. Benefits-other (describe)	5. 0.00
6. Benefits-other (describe)	6. 0.00
7. Car allowance	7. 0.00
8. Vehicle provided by government (if reported on your W-2)	8. 0.00
9. Per diem	9. 0.00
10. Reimbursements	10.0.00
11. Travel	11.0.00
12. Registration fees	12.0.00
13. Conference travel	13.0.00
14. Housing	14.0.00
15. Unvouchered expenses (example: travel advances, etc.)	15.0.00
16. Special meals	16.0.00
17. Other	17.0.00
18. TOTAL (enter total of line 1-17)	18. 0.00

XX Please check here if the Agency Head does not receive any compensation, benefits, and other payments. (Act 462 of the 2015 Legislative Session allows nongovernmental entities or not-for-profit (quasi-public) entities to report on the Act 706 schedule **only** those payments to the agency head that are derived from the public funds.)

LSRMP
Balance Sheet
August 31, 2021

ASSETS

Current Assets		
Cash - BankOne Operating Acct.	\$	4,264.29
Money Market		69,093.22
		73,357.51
Property and Equipment		
Furniture & Fixtures		31,765.11
Accumulated Depreciation		(31,765.11)
		0.00
Other Assets		
		0.00
Total Assets	\$	73,357.51

LIABILITIES AND CAPITAL

Current Liabilities		
		0.00
Long-Term Liabilities		
Reported Claims	\$	(845,638.15)
IBNR Claims		780,630.00
Unallocated Lss Adj. Expense		75,008.14
Reserve for unalloc. Losses		0.01
		10,000.00
Total Long-Term Liabilities		10,000.00
Total Liabilities		10,000.00
Capital		
Fund Balance (Deficit)		64,435.51
Net Income		(1,078.00)
		63,357.51
Total Capital		63,357.51
Total Liabilities & Capital	\$	73,357.51

LSRMP
 GI Account Summary Report
 As of: August 31, 2021

<u>Account Number</u>	<u>Account Description</u>	<u>Beginning Balance</u>	<u>Debit Change</u>	<u>Credit Change</u>	<u>Net Change</u>	<u>Ending Balance</u>
1030-000	Cash - BankOne Operatin	4,362.29	\$ 0.00	\$ (98.00)	\$ (98.00)	\$ 4,264.29
1060-000	Money Market	69,093.22	0.00	0.00	0.00	69,093.22
1505-000	Furniture & Fixtures	31,765.11	0.00	0.00	0.00	31,765.11
1506-000	Accumulated Depreciation	(31,765.11)	0.00	0.00	0.00	(31,765.11)
2100-000	Reported Claims	845,638.15	0.00	0.00	0.00	845,638.15
2200-000	IBNR Claims	(780,630.00)	0.00	0.00	0.00	(780,630.00)
2300-000	Unallocated Lss Adj. Expe	(75,008.14)	0.00	0.00	0.00	(75,008.14)
2430000	Reserve for unalloc. Losse	(0.01)	0.00	0.00	0.00	(0.01)
2980-000	Fund Balance (Deficit)	(64,435.51)	0.00	0.00	0.00	(64,435.51)
5050000	Claims Legal Expense-Dir	0.00	98.00	0.00	98.00	98.00
5063000	Claims - Legal Fees Direct	980.00	0.00	0.00	0.00	980.00
	Totals	0.00	\$ 98.00	\$ (98.00)	\$ 0.00	\$ 0.00

Activity from 09/01/2020 to 08/31/2021

Filtered By: Dividend - Taxable, Dividend/Interest - (prior year)

Account: 26229241 - LSLEP - LSRMP

Date	Trade Date	Settle Date	Symbol /CUSIP	Description	Category	Activity Type	Quantity	Price	Amount	Additional Detail
08/02/2021	07/30/2021		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$0.59	\$0.00001 per share x 69,583.360 shares
07/01/2021	06/30/2021		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$0.57	\$0.00001 per share x 69,582.790 shares
06/01/2021	05/28/2021		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$0.59	\$0.00001 per share x 69,582.200 shares
05/03/2021	04/30/2021		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$0.57	\$0.00001 per share x 69,581.630 shares
04/01/2021	03/31/2021		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$0.62	\$0.00001 per share x 69,581.010 shares
03/01/2021	02/26/2021		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$0.56	\$0.00001 per share x 69,580.450 shares
02/01/2021	01/29/2021		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$0.62	\$0.00001 per share x 69,579.830 shares
01/04/2021	12/31/2020		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend/Interest - (prior year)	0.00000	\$0.000	\$0.62	\$0.00001 per share x 69,579.210 shares
12/01/2020	11/30/2020		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$0.96	\$0.00001 per share x 69,578.250 shares
11/02/2020	10/30/2020		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$1.53	\$0.00002 per share x 69,576.720 shares
10/01/2020	09/30/2020		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$2.22	\$0.00003 per share x 69,574.500 shares
09/01/2020	08/31/2020		FRGXX	FIMM GOVERNMENT PORTFOLIO MONEY MARKET INSTL CL M/M (FRGXX)	Income	Dividend - Taxable	0.00000	\$0.000	\$3.59	\$0.00005 per share x 69,570.910 shares
Total									\$13.04	

Disclaimers

Quote data provided by Interactive Data Corporation (SM) www.InteractiveData.com. Quotes delayed at least 15 minutes unless otherwise indicated. Interactive Data Corporation and Raymond James shall not be liable for any errors or delays in content.

Market valuations are based on information we believe to be accurate, but accuracy cannot be guaranteed. Estimated Annual Income is an estimate only based on year-to-date information. This schedule is not intended for tax, lending, legal or other non-financial planning purposes and should not be relied upon by third parties.

The Fair Market Value for qualified accounts holding annuities excludes the required minimum distribution basis value.